Exploring Thematic Fit in Language with Neural Models

By Anjani Prasad Atluri , Samrat Halder, Mughilan Muthupari, Priyadharshini Rajbabu, Jake Sta<u>mell</u>

Mentors: Yuval Marton, Asad Sayeed, Smaranda Muresan



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Our goal is to use neural models for **thematic fit**. This aims to identify how well a given word or concept fits a into a role of an event

Example sentence with roles							
Sentence	I	cut	the cake	with a knife			
Roles	agent	action	patient	instrument			

How would a human interpret potential role-fillers for the following sentence?

Sentence: The cake was cut with the *[instrument]* by me **Role-fillers:** knife, scissors, floss, brick



Introduction: Language Models vs. Thematic Fit

Given the promising developments in pre-trained language models (e.g. BERT), one might ask whether these can be used directly for this task

- When do language models fail?
- Why we need extra information about roles?

Sentence:	Mask 1 Predictions:
	18.0% knife
I cut the cake with the [MASK].	11.1% fork
	5.0% spoon
	4.4% butter
	3.8% bread
Sentence:	Mask 1 Predictions:
	6.8% knife
The cake was cut with the [MASK] by me.	4.1% bread
	2.9% cake
	2.8% wine
	2.6% butter

Previous Work: Event Representation Models

- Goal is to predict the appropriate word in a sentence given both the role of that supposed word and the surrounding context in the form of word-role pairs.
- □ Non-incremental role-filler (NNRF) model
 - □ fails to distinguish two similarly worded sentences with different meanings
 - e.g. Kid watches TV and TV watches kid
- □ NNRF model is extended in three iterations:
 - □ NNRF-MT (multi-tasking objective)
 - □ RoFa-MT (Role-Filler Averaged model)
 - ResRoFa-MT (Residual connections to solve vanishing gradient issues)



Embedding Approaches

- □ Random currently used in ResRoFa-MT
- Non-contextual embeddings
 - □ Word2vec
 - 🛛 GloVe
 - □ FastText
- Contextual embeddings
 - RoBERTa
 - XLNet
 - ERNIE 2.0





Our Contributions

- Do non-contextual embeddings outperform random embeddings?
- □ How important is tuning embeddings for this specific task?
- How does the role embedding size (input style) affect model performance?
- Do contextual embedding outperform non-contextual embedding?
- □ Rapid optimization of training speed and codebase
- □ Other explorations with ResRoFa-MT model architecture.



Experiments

- □ Separate vs. Shared Embedding Layers
- □ Fixed vs. Tuned Random Embeddings
- □ Fixed vs. Tuned Non-contextual Embeddings
- □ Shrinking Role Embeddings
- Orthogonal Role Embeddings

Baseline : We use random embeddings as baseline of our experiments

Dataset: We use a 10% sample of the RW-Eng-v2 corpus for training (see appendix for additional details on the corpus)



Experiments: Separate vs. Shared Embedding Layers

Description

- ResRoFa has a pair of embedding layers: 1) input words and roles; 2) target word and role
- Second set is used for the prediction task
- Goal is to test whether a single set of embeddings can be used for both purposes (new model named RRF-Shared)

Results

- Test performance for loss, word prediction accuracy, and role prediction accuracy are nearly identical; similar performance on thematic fit tasks
- RRF-Shared has ~50% fewer parameters than ResRoFa(~30M vs. ~67M)

Model	Initial Embedding	Fixed/Tuned	Test Loss	Test Role Accuracy	Test Word Accuracy	PADO-all Correlation	McRae-all Correlation
ResRoFa	Random	Tuned	5.49	94.00%	29.66%	0.26	0.30
RRF-Shared	Random	Tuned	5.49	94.10%	29.66%	0.30	0.28



Experiments: Fixed vs. Tuned Random Embeddings

Description

- □ Using RRF-Shared, we compare two random initializations of the model
- One where embeddings are held fixed and another where they are tuned

Results

- Significant drop-off in role prediction accuracy when holding embeddings fixed (tuned-96%, fixed-68%)
- Drastic performance difference on thematic fit tests (PADO, McRae)

Model	Initial Embedding	Fixed/Tuned	Test Loss	Test Role Accuracy	Test Word Accuracy	PADO-all Correlation	McRae-all Correlatio n
RRF-Shared	Random	Fixed	6.08	75.79%	29.66%	-0.05	-0.01
RRF-Shared	Random	Tuned	5.49	94.10%	29.66%	0.30	0.28



Experiments: Fixed vs. Tuned Non-Contextual

Description

- We initialize embeddings with non-contextual word embeddings: Word2Vec, FastText, GloVe
- □ We compare fixing vs. tuning embeddings
- Non-contextual embeddings can have out-ofvocabulary (OOV) words
 - Additional experiments test how OOV embeddings are initialized

Results

- The role and word prediction accuracy improved when we fine tuned the embeddings as expected
- □ Also we observed performance improvement in the thematic fit tests with fine tuning

Model	Initial Embedding	Fixed/Tuned	Test Loss	Test Role Accuracy	Test Word Accuracy	PADO-all Correlation	McRae-all Correlation
RRF-Shared	GloVe	Fixed	5.34	93.58%	29.66%	0.05	-0.09
RRF-Shared	GloVe	Tuned	5.34	94.15%	29.66%	0.37	0.23
RRF-Shared	FastText	Fixed	5.35	93.74%	29.66%	0.22	0.24
RRF-Shared	FastText	Tuned	5.34	94.10%	29.66%	0.32	0.31



Experiments: Fixed vs. Tuned Non-Contextual

Embedding Source	OOV initialization	Fixed/Tuned	Validation Loss	Validation Role Accuracy	Validation Word Accuracy
Word2Vec	Avg	Fixed	5.98	96.22%	13.65%
Word2Vec	Null	Fixed	5.98	96.26%	13.61%
Word2Vec	Avg	Tuned	5.97	96.68%	13.87%
Word2Vec	Null	Tuned	5.98	96.67%	13.86%
FastText	Avg	Fixed	5.99	96.36%	13.44%
FastText	Null	Fixed	6.00	96.35%	13.43%
FastText	Avg	Tuned	5.98	96.64%	13.83%
FastText	Null	Tuned	5.98	97.64%	13.79%
GloVe	Avg	Fixed	5.99	96.03%	13.51%
GloVe	Null	Fixed	5.99	95.98%	13.51%
GloVe	Avg	Tuned	5.97	96.62%	13.87%
GloVe	Null	Tuned	5.98	96.65%	13.87%



Experiments: Shrinking Role Embeddings

Description

- Prior models use size 300 embeddings
- Experiment a new model (RRF-Small Role or RRF-SR) that uses randomly initialized role embeddings of size 3, 30, and 300.
 - Size 300 role embeddings in RRF-SR corresponds to the same size as the baseline; however, the method of composition is different.
 - Rather than using the Hadamard product, we now concatenate the embeddings

Results

While role accuracy is similar across the runs, shrinking role embeddings sees a deterioration in performance on loss and word accuracy

Embedding Size	Validation Loss	Validation Role Accuracy	Validation Word Accuracy
3	6.13	96.56%	12.93%
30	6.10	96.57%	12.98%
300	6.20	96.50%	12.64%



Experiments: Orthogonal Role Embeddings

Description

- Extend low dimensional roles to one hot orthogonal vectors instead of random
- Randomly initialized embeddings can place some roles closer to each other in the vector space

Results

- Orthogonally initialized embeddings perform similar to the other lower dimensional role embedding experiments
- □ Experimented on 10% data.



Key Takeaways

- Shared embeddings for input and target words/roles performs well with a drastic reduction in model size
- Strong performance on the validation/test sets does not necessarily equate to strong performance on external thematic fit benchmarks
- □ Tuning embeddings is vital for performance on thematic fit evaluation tasks
- RRF-Shared with non-contextual initialized embeddings does not significantly outperform randomly initialized embeddings
- Based on initial results, smaller role embeddings introduced in RRF-SR do not improve performance



Ethical Considerations

- Data is sourced entirely from UK web sources/proceedings from the 20th century
 - □ This is not a heterogeneous dataset and could have biases embedded in it
- Automatically parsing the corpus could introduce additional biases from the parsing algorithms
 - At the very least, there is minimal validation of the data, which could hurt model performance
- Pre-trained language models have their own set of issues as well
 - □ They are known to encode biases and can be affected by toxic data
 - □ Environmental footprint of training models is enormous

Future Work

- Incorporate a more efficient way to make it feasible to run ResRoFa model with contextual embeddings
- □ Integrate team 2's work on the model architecture side



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THANK YOU!





Appendix



Figure 1: Architecture of multi-task role-filler model.



Figure 2: Architecture of role-filler averaging model.



Figure 3: Architecture of residual role-filler averaging model.



Appendix

Corpus

□ Rollenwechsel-English (**RW-eng**)

- Propbank approach to Semantic Role Labelling (SRL)
- Corpus is referenced from *ukWaC* and *British National Corpus*
- Two versions of the corpus (RW-eng-v1 and RW-eng-v2)
- This corpus applies dependency parsing algorithms combined with heuristics in order to perform the SRL task
 - While this allows the creation of a large dataset, it also means the samples can be very messy





Experiment Results (models trained on 10% data)

Model	Initial Embedding	Fixed/Tuned	Validation Loss	Validation Role Accuracy	Validation Word Accuracy
RRF-Shared	Random	Fixed	6.09	75.93%	29.63%
RRF-Shared	Random	Tuned	5.50	94.14%	29.63%
RRF-Shared	GloVe (Avg.)	Fixed	5.52	93.59%	29.63%
RRF-Shared	GloVe (Avg.)	Tuned	5.50	94.13%	29.63%
RRF-Shared	FastText (Avg.)	Fixed	5.51	93.86%	29.63%
RRF-Shared	FastText (Avg.)	Tuned	5.50	94.14%	29.63%
RRF-SR	Orthogonal Role	Tuned	5.59	93.45%	29.63%
RRF-SR	30-dimensional Role	Tuned	5.64	93.26%	29.63%

